NEW YORK CITY
MASTER'S IN FINANCE
FOR WORKING PROFESSIONALS
2016 / 2017

UNIVERSITY OF ROCHESTER
SIMON BUSINESS SCHOOL
Welcome to the business program that offers a degree of distinction.

With a highly regarded reputation in finance and our analytic, economics-based approach to management education and scholarship, our Master of Science program in Finance is a great fit for working professionals in the world’s financial capital.

Our unique approach has trained finance leaders for decades.

Simon faculty members are considered to be among the finest in the world and are regarded as the architects of finance, accounting, and management concepts that shape today’s business. Our cross-functional curriculum is grounded in finance and economics and provides a framework for thinking about management problems with a better understanding of the interactive nature of business decisions.

Our Frame, Analyze, and Communicate (FACT) model of problem solving will guide you in everything from strategic planning to how to respond in a crisis. Hands-on learning using the FACT model helps students apply these important principles to real-world situations.

Quality students make for a quality experience.

The Simon classroom is a collaborative, personalized environment where students can create more meaningful relationships with faculty, staff, and each other. Benefit from exceptional teaching while learning and interacting with other high-caliber peers from diverse professional backgrounds and perspectives.

We look forward to hearing from you.
Felipe Valdes
VP, Head of Finance
CorpBanca
‘14S (MS) Finance

As a financial executive, Felipe takes an analytical view of the Simon program. “For me, this is an investment,” he says. “As I reviewed my program options, it was clear that Simon offered the best price/quality relationship.” A critical factor in the quality equation is small class size. “The professors get to know you very well, especially during the week in Rochester. You have opportunities to interact with them in the classroom and in more casual settings. And the support provided by the staff makes the experience feel like a family.”

“The Simon degree makes me feel much more comfortable in pursuing my ambition to become a CFO. I understood the basics, but now I understand not only the theory but how finance works in the real world.”

MS in Finance Program: Designed for working professionals.

Simon Business School’s Master of Science in Finance in New York City is a part-time, 13-month graduate program designed for working professionals who seek an advanced degree on an accelerated basis from a top-tier business school.

Support for a rewarding experience.
Recognized worldwide as experts in finance, Simon instructors provide an in-depth look at the industry. While the MS in Finance program is rigorous, it is designed with study teams and a manageable workload to support busy working professionals. Helpful and supportive New York City staff manage everything you need for this intensive 36 credit-hour degree program. Everything from registration to textbooks and meals are included in the program fees.

The MS in Finance program begins each March and includes 10 courses on alternate weekends in Manhattan. The two remaining courses are popular week-long sessions conducted offsite, one at the main campus of the University of Rochester and the other in Switzerland at the end of the program. All travel and accommodations are included.

Simon Business School rankings

Financial Times of London, January 2015

3 In the world for Economics
5 In the world for Finance

Bloomberg Businessweek consistently grades Simon faculty with an A for teaching quality.

Simon Business School rankings

Bloomberg Businessweek consistently grades Simon faculty with an A for teaching quality.
We value your commitment and make the most of your time.

Simon faculty and successful New York City finance alumni designed the unique curriculum. The accelerated weekend format enables participants to continue their careers while receiving a world-class graduate education.

A curriculum designed for maximum impact.
The selection of courses in the NYC MS in Finance program was developed in partnership with successful finance alumni practitioners and faculty experts, merging applied knowledge with decades of academic excellence.

The program begins with four foundational courses in the first two modules in New York City. Each module reflects a balanced education with an emphasis on the Simon approach.

Subsequent New York City modules build on the financial concepts integral to the learning objectives of the program. Students learn that problems cross all areas of an organization and begin to view issues from numerous vantage points in order to construct meaningful solutions.

The foundation is formed by weekend modules in NYC.
Busy New York City professionals work long weekday hours. Our convenient alternate weekend classes and concentrated residency weeks provide an ideal format to balance the many demands of your time. The accelerated but manageable pace boosts your career potential in just 13 months of dedicated study.

Finance career disciplines
Students learn state-of-the-art techniques for careers in areas such as:
- Banking
- Consulting
- Corporate Finance
- Credit Analysis
- Investment Management
- Insurance
- Mergers and Acquisitions
- Portfolio Management
- Risk Management

“...The finance knowledge I gained at Simon will open new opportunities for me. Before Simon, I knew physics, optics, and IT operations. Now, no matter what direction the market turns, I have equipped myself for success.”

Neelima Sharma
Director
Pico Quantitative Trading
’15S (MS) Finance

Neelima Sharma wanted to deepen her knowledge of finance to complement her strength in IT. She chose Simon because of its reputation and world-class faculty. “I can’t decide who is the best professor,” she says. “One is better than the next. They all are so unbelievably talented and they all have a unique way of delivering their material.” She also appreciates the support provided by Simon staff behind the scenes. “That’s the one thing people take for granted because it is so good,” she says. “They know every student personally and they are there at your fingertips no matter what you need.”
Our expert faculty. Your invaluable resource.

Our smaller class size means you will work closely with some of the world’s most recognized thought leaders in finance. Our faculty members are independent thinkers who each bring exceptional knowledge, experience, and passion to approaching and solving real-world business problems.

An elite group of worldwide experts.
Simon Business School has one of the most respected faculties in the world, excelling in both teaching and research. Simon faculty members are on editorial boards of top business journals. The Journal of Financial Economics and the Journal of Accounting and Economics, the leading journals in finance and accounting, are published at Simon Business School. Our professors routinely bring their knowledge and research to the classroom.

Experience that reaches beyond the classroom.
Simon faculty members advise and interact with the business community and regulatory agencies. They serve on boards of major corporations, financial institutions, law firms, and key organizations such as the Securities and Exchange Commission.
Our Rochester-based professors travel to New York City and are present throughout the weekend sessions. You will have unparalleled access beyond class lectures, with opportunities to gather helpful advice to bring back to the office.

List of faculty
Gregory H. Bauer, PhD
Finance, University of Pennsylvania
James A. Brickley, PhD
Finance, University of Oregon
Gregg A. Jarrell, PhD
Business Economics, University of Chicago
Sudarshan Jayaraman, PhD
Accounting, University of North Carolina at Chapel Hill
Ron Kaniel, PhD
Finance, University of Pennsylvania
Ravi Mantena, PhD
Information Systems, New York University
Robert Novy-Marx, PhD
Finance, University of California–Berkeley
Robert Ready, PhD
Finance, University of Pennsylvania
G. William Schwert, PhD
Economics, University of North Carolina at Chapel Hill
Clifford W. Smith Jr., PhD
Economics, University of North Carolina at Chapel Hill
Erin Smith, PhD
Finance, New York University
Jerold B. Warner, PhD
Economics and Finance, University of Chicago
Joanna Wu, PhD
Business Administration, Tulane University

Robert Novy-Marx, PhD
Lori and Alan S. Zekelman Professor of Business Administration and Professor of Finance

Groundbreaking research
Regularly published in top academic journals, Simon’s faculty research relates directly to current, real-world issues facing financial markets and organizations.
Our curriculum works to strengthen your potential.

The Simon curriculum provides students with the in-depth perspective and analytical tools needed to flourish in financial services and corporate finance positions. The program also broadens the finance-specific knowledge of business professionals who interact with the financial services industry, in fields such as insurance, securities law, and consultancy.

Our lockstep program leads to effective learning.
The program begins with four foundational courses to strengthen core knowledge. Next, the focus on finance intensifies, integrating key concepts with faculty insights. With our analytics-based curriculum, students gain a full appreciation of the frameworks that brought Simon to the forefront of finance education.

Benefit from a collaborative classroom experience.
MS in Finance students come from a variety of backgrounds and represent a range of professional experiences. As part of a committed and highly engaged Simon study team, you will draw on the unique insights of your classmates as you master the skills and knowledge provided by our expert instructors. Learning from finance industry professionals and practitioners, our students are prepared to apply their enhanced skill set on the job immediately. You will also interact with peers in other Simon MS in NYC weekend programs, in guest lectures, and during residency weeks.
Our 13-month program is tailored to you.

Alternate weekend modules in New York City provide concentrated classroom and subsequent study time. Students work in highly functional study teams for additional support and accelerated learning. The residency weeks occur once each calendar year of the program, which helps balance time away from the office over a manageable time period.

We understand today’s working professionals.

At Simon Business School, we’re here to help you maintain the balance of your personal and professional life while you expand your business expertise. Our tools and resources ensure that the time you invest in your education will bring returns for the work you are doing today and the rest of your career.

We’ll take care of it.

Simon’s NYC staff handle even the smallest details for you, including books, software, and meals on each class day so you can stay focused on your studies. Together with career advice and connection to mentors in the Simon alumni community, we are committed to supporting your success.

Residency weeks

The residency weeks—Topics in Finance in Rochester and International Economics and Finance in Europe—offer students the opportunity to immerse themselves even more deeply into the subject matter.

During residency weeks, students work closely with Simon professors, meet guest lecturers, tour key organizations, and network with each other and Simon alumni to round out their graduate finance education.

The Rochester residency week brings students to our picturesque University campus in Upstate New York midway through the program.

The popular Swiss residency week exposes students to international agencies and multinational corporations with European alumni of the Rochester-Bern Executive MBA program.

Round-trip travel from New York City and accommodations for residency weeks are included in program fees.
An option that offers even greater rewards.

Simon continues to expand our New York City offerings with signature academic programs and special events that attract everyone from early career professionals to seasoned executives.

A unique perspective tailored to NYC professionals.

With our rich history and reputation in financial markets, Simon’s presence in New York City has grown to include academic programs that were once only available hundreds of miles away. Now with our MS in Finance, MS in Management, and MS in Health Care Management programs in New York City, a growing number of graduates understand the power and value of a Simon education while continuing to gain work experience. They also benefit from being members of Simon’s active alumni network, which hosts an array of social events and guest lectures that attract professionals from across the region and around the world.

Expanding our reach to achieve your goals.

Simon is able to bring our unique and powerful analytic and economics-based approach to the world’s financial capital. From the concentrated curriculum of the MS in Finance program, students have the opportunity to continue their studies with additional management course offerings in New York City and Rochester. If your career goals warrant further education, supplemental courses provide a bridge to the Simon MBA.

MS to MBA

While the majority of MS students are solely interested in the benefits of a specialized graduate degree, we offer the ability to apply all MS credits earned toward the completion of a Simon MBA. With ten additional required courses that are chosen in an individualized plan with your advisor, the opportunity to earn two graduate business degrees is a valuable option to consider.

“It’s a no-brainer for someone who wants to gain a deeper understanding at a school that has great recognition, and also build a strong network in the finance hub of the world.”

Andrew Asmodeo
Vice President
Global Client Management
BNY Mellon

“MS (MS) Finance

For Andrew Asmodeo, the strength of the Simon program was in its breadth. “It gives you the ability to speak the language of many different people, whether they’re traders, wealth advisors, or a CFO,” he says. “To be able to understand their perspectives really builds your confidence.” And the week in Switzerland was a welcome conclusion to the challenging year of study in New York. “It was a great way to wrap things up,” he says. “It reinforced our relationships. And it opened up a lot of eyes that the world is bigger than just the US economy.”
A powerful network in NYC and beyond.

It’s about connections. From your cohort study team where you will learn together what makes Simon a worldwide leader in financial education, to the direct access you are given to senior faculty and successful New York City and global alumni, the power of the Simon network is behind you.

Our home is at the center of it all.
The MS in Finance in New York City program’s home is 185 W. Broadway in Tribeca, at the state-of-the-art campus of New York Law School. This lower Manhattan location is an ideal site for our New York State Education Department-approved Extension Center, well-suited for the working professional. Simon New York City-based staff and administration actively support student needs throughout the program, providing class materials, academic advice, career counseling, and referrals.

An alumni network that makes a difference.
With more than 3,000 Simon alumni in the New York City area, students have access to a dedicated and supportive professional network. Guest lectures, panel discussions, and social events with the Simon and University of Rochester alumni communities occur regularly throughout the academic year.

Notable event speakers
Simon Business School hosts conferences and events in Manhattan, drawing several hundred participants. Individual themes cover a variety of current topics of significant interest to the business community.
Christopher J. Christie
Governor of New Jersey
Jamie Dimon
Chairman and CEO of JPMorgan Chase & Co.
Steve Forbes
Chairman and Editor-in-Chief of Forbes Media
Jeffrey Immelt
Chairman and CEO of General Electric
Robert Khuzami ‘79
Former SEC Chief Enforcement Officer (RET)
Larry Kudlow ‘69, ‘13S (LLD)
CNBC, Senior Contributor
Sallie Krawcheck
Owner of Ellevate, formerly 85Broads
You will see returns from day one. So will your employer.

Working professionals in the Simon program immediately bring current industry knowledge and actionable ideas back to their companies. The classroom study, collaboration with other students in study groups, and project management skills developed through hands-on learning can be utilized in the workplace to streamline work processes, improve efficiencies, and create new ideas.

Benefits that generate returns from the start.

The unique weekend and residency week format of the Simon MS in Finance program allows your education to fit more conveniently into your busy schedule. Working professionals develop new solutions and methods for solving business problems that can be applied to many finance functions. Employers see the benefit of a highly trained workforce that doesn’t need time out of the office to earn their advanced degrees. In addition, the professional business skills learned by each Simon student can be applied to virtually any workplace to help streamline processes, improve efficiencies, and reduce costs.

Momentum to propel your career.

Our MS in Finance in New York City students have a strong track record of post-graduation advancement and a proven return on investment. Hiring managers looking for professionals with advanced business skills regularly turn to Simon graduates to meet their staffing needs.

Join a network of Simon alumni from these great companies:

American Express
American International Group
Bank of America
Barclays
BlackRock
Bloomberg
BNP Paribas
BNY Mellon
Citigroup
Credit Suisse
Deutsche Bank
Deloitte
Ernst & Young
Federal Reserve Bank of NY
GE Capital
Goldman Sachs
HSBC
Jefferies
JP Morgan Chase
KPMG
Merrill Lynch
Moody’s Investors Service
Morgan Stanley
New York Times
Oppenheimer
PricewaterhouseCoopers
Prudential Financial
Royal Bank of Canada
Standard & Poor’s
Travelers
UBS

“I really thrived in Simon’s learning environment and engaged with more experienced and international students. Working on team projects was a great learning opportunity and the professors always had a knack for making the complex easier to understand.”

Doug Petno
Chief Executive Officer of the Commercial Banking Division
JP Morgan Chase & Co.

“’89S (MBA)
Finance, Business Environment and Public Policy

As Doug Petno makes decisions at JPMorgan Chase, he says he still employs the core principles he learned at Simon, namely basic economics, free-market theories, and an analytical approach to problem solving.

“I’m encouraged to see the New York City-based graduate programs in Finance and Management getting off the ground,” he says. “Having a New York City presence will help raise the visibility of the School even more.”
Be part of a community of learners.

Simon students are the best of the best. We seek candidates who are motivated, show evidence of leadership and teamwork, and are focused on career aspirations and academic excellence. Pursuing a Simon master’s degree is a rigorous and challenging enterprise, but the connections you make and the rewards you receive can bring returns that will last a lifetime.

Join an elite cohort with similar goals and equal drive.

Simon Business School evaluates a number of criteria for admissions. Selection is based on the nature and scope of prior work experience, academic achievement, future career aspirations, and the motivation to succeed in a rigorous program. Evidence of leadership and teamwork, in addition to strong communication skills, are key factors for consideration. Our candidates include early career professionals through mid-level managers with a wide range of experiences. With backgrounds in corporate finance and financial service roles, you will be part of an ambitious network of professionals who are seeking to broaden their cross-functional business knowledge and financial skills.

Let us support your next step to success.

A limited number of need-based and merit scholarships are also available through the MS in Finance Program Office. Candidates are strongly urged to apply early for consideration.

Financial aid information

Students who are US residents or citizens are eligible for federal or private loans to finance their education. The University administers the full range of federal and private financial aid programs through the University of Rochester Financial Aid Office.

For further information on student loans, contact:
University of Rochester
Financial Aid Office
PO Box 270261
Rochester, NY 14627-0261
Phone: (585) 275-3226
Toll free if calling in the US: 1-800-881-8234
Online: https://enrollment.rochester.edu/financial

“I love the program format. It has been very easy to incorporate into my working life and I enjoy applying on the job what I learn in the classroom.”

Rachel Hart
Country Risk Analyst
JPMorgan Chase & Co.
’13S (MS) Finance

Rachel Hart decided on Simon’s MS in Finance in NYC program after referrals from two of her friends. “They spoke very highly of the School,” she says. “After looking into it, I found the unique and convenient weekend format very attractive. Studying part time allowed me to gain more real-world work experience. It’s a perfect combination.”

Rachel says Simon’s quantitative and analytics focus provides a direct benefit to her daily work, and exposure to new areas helped set her sights on career advancement. “My goal was to build on what I learned and use my degree from Simon to move into a more challenging role,” she says. As a result, Rachel was able to transition from her previous position as an Operations Analyst into a Risk Management Analyst role, which is a great fit for her career aspirations.
Applications for the Simon Master of Science in Finance in New York City are accepted on a rolling basis. Application packets received after the submission deadline will be considered for admission only if space is available. As a part-time program, international candidates with full-time student visa (F-1) status cannot be considered for admission; however, international students are encouraged to apply to the full-time MS program offered at the Simon Business School in Rochester.

Contact Us
Melissa Contreras, Associate Director, New York City Programs
Simon Business School NYC, University of Rochester
185 W. Broadway
New York, NY 10013
PHONE: (585) 402-3521 (New York City)
PHONE: (585) 275-3439 (Rochester Main Office)
FAX: (585) 244-3612
E-MAIL: msfnyc@simon.rochester.edu
WEBSITE: simon.rochester.edu/msfnyc

Key program information
Start Date: Early March
Priority Deadline: December 1
Application Deadline: Mid-January
Time to Complete: 13 months
Class Schedule: Saturday/Sunday every other weekend (excluding July and August)
Residency Weeks: July (Rochester) and March (Switzerland)
Courses Required: 12 lockstep courses (3 credit-hours each)
GMAT or GRE: Required—waiver possible
Work Experience: Internship and employment history

Application checklist
- Online application form
- Current résumé
- One required essay
- Unofficial transcripts, scanned and uploaded for each university attended
- Test-taker results for GMAT or GRE scores, scanned and uploaded (waiver possible—please contact program staff)
- One letter of recommendation (submitted electronically)
- $150 application fee

Applications for the Simon Master of Science in Finance in New York City are accepted on a rolling basis. Application packets received after the submission deadline will be considered for admission only if space is available. As a part-time program, international candidates with full-time student visa (F-1) status cannot be considered for admission; however, international students are encouraged to apply to the full-time MS program offered at the Simon Business School in Rochester.

Contact Us
Melissa Contreras, Associate Director, New York City Programs
Simon Business School NYC, University of Rochester
185 W. Broadway
New York, NY 10013
PHONE: (585) 402-3521 (New York City)
PHONE: (585) 275-3439 (Rochester Main Office)
FAX: (585) 244-3612
E-MAIL: msfnyc@simon.rochester.edu
WEBSITE: simon.rochester.edu/msfnyc

Key program information
Start Date: Early March
Priority Deadline: December 1
Application Deadline: Mid-January
Time to Complete: 13 months
Class Schedule: Saturday/Sunday every other weekend (excluding July and August)
Residency Weeks: July (Rochester) and March (Switzerland)
Courses Required: 12 lockstep courses (3 credit-hours each)
GMAT or GRE: Required—waiver possible
Work Experience: Internship and employment history

Application checklist
- Online application form
- Current résumé
- One required essay
- Unofficial transcripts, scanned and uploaded for each university attended
- Test-taker results for GMAT or GRE scores, scanned and uploaded (waiver possible—please contact program staff)
- One letter of recommendation (submitted electronically)
- $150 application fee